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1 **Acute kidney injury biomarkers in the single cell transcriptomic era**

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16 Running head: AKI and transcriptomics

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18

19 **Abstract**

20 Acute kidney injury (AKI) affects many hospitalized patients and is associated with
21 increased morbidity and mortality even at milder and reversible stages. The current clinical
22 definition relies on serum creatinine increases or a decreased urinary output. However, both
23 parameters are of limited use because of poor sensitivity, specificity, and timeliness.
24 Furthermore, the complex pathophysiology and diverse etiologies underlying AKI confound
25 these issues. Precise biomarkers for specific aspects of AKI are needed. Earlier AKI biomarkers
26 were unsuccessful in addressing these needs because they either lacked sensitivity and
27 specificity or failed to aid in guiding clinical management. The advent of single cell
28 transcriptomics technologies provides an unprecedented opportunity to analyze cells from urine,
29 blood, or kidney biopsies to elucidate the detailed, cell-specific, molecular responses in AKI.
30 These technologies uncover the cellular sources of traditional biomarkers, capture patient
31 heterogeneity, define cell states associated with different AKI subtypes, and might eventually
32 help to predict therapeutic response. We discuss how single cell technologies might transform
33 diagnostic approaches to AKI by moving from single biomarkers to cell-specific molecular
34 signatures.

35

36 **Introduction**

37 The kidneys are vital for toxin removal, electrolyte and volume homeostasis, hormone
38 production, blood pressure regulation, and acid-base balance^{1,2}. To fulfill these tasks, the
39 kidneys require approximately 20% of the resting cardiac output and consume disproportionate
40 amounts of oxygen and energy^{3,4}. Two-hundred liters of blood are filtered every day to produce
41 1-2 liters of urine while circulating fluid volume, serum osmolarity, and electrolyte levels are
42 maintained within tight ranges^{5,6}. Many different, specialized cell types are required to fulfill
43 these tasks. Circa one million functional nephrons reside in each kidney, outfitted with the
44 glomerular filter, a tubular system for reabsorption, secretion, and adjustment, coupled to a
45 unique vascular maintenance and exchange network is required to convert the erstwhile plasma
46 into urine^{7,8} (Fig. 1). Kidney cells are exposed to wide ranges of cellular microenvironments in
47 which tissue oxygen partial pressures (pO₂) range from 90 mmHg in the cortex to around 10
48 mmHg in parts of the renal medulla⁹⁻¹¹. Similarly, large differences in tissue osmolality ranging
49 from a serum-isoosmolal cortex (approximately 290 mosmol/kg H₂O) to a strongly hypertonic

50 inner medulla (approximately 1200 mosmol/kg H₂O in humans) must be established to regulate
51 homeostasis^{12,13}.

52 Acute kidney injury (AKI) is a sudden loss of kidney function, is clinically defined by
53 increases in serum creatinine levels and commonly a reduced urine output occurring over the
54 course of seven days or less^{14,15}. Creatinine is a by-product of muscle creatine metabolism,
55 constantly produced by the body, and excreted unchanged into the urine by glomerular filtration
56 and minimal tubular secretion¹⁶. Both, creatinine and urinary output are parameters of kidney
57 function and not injury markers per se¹⁷. AKI occurs in up to 20% of hospitalized patients and
58 AKI-related mortality largely exceeds that of other medical conditions, such as diabetes or
59 breast cancer^{17,18}. In addition, the economic implications of AKI are dramatic. For instance, the
60 UK National Health Service annually spends more on AKI-related costs than on breast, lung,
61 and skin cancers combined¹⁹. Even though targeted AKI therapies are lacking, early AKI
62 detection combined with hemodynamic patient management (e. g. adequate hydration) and
63 adaptation of the patient's medication could prevent thousands of AKI cases, thereby limiting
64 the clinical consequences of AKI and reducing subsequent costs¹⁹. Identifying patients at high
65 risk for AKI before the relatively insensitive clinical markers of increased plasma creatinine or
66 decreased urine production become apparent could enable effective interventions. Currently
67 available biomarkers have not yet demonstrated a general utility in this regard²⁰.

68 Apart from the early detection of AKI, biomarkers can also serve in several other aspects
69 of AKI. This includes the assessment of a general preprocedural risk of developing AKI, the
70 severity of AKI and the renal recovery after AKI²¹. AKI itself is limited to a duration of up to
71 seven days by definition. However, impairment of renal function may be prolonged. Chronic
72 kidney disease (CKD) is defined by persistent renal disease over 90 days, renal disease in the
73 time period between AKI and CKD is called acute kidney disease (AKD)¹⁵. Most of the patients
74 recover from AKI, there is however a group of patients that will develop CKD (AKI-to-CKD
75 transition)²². In fact, recent research supported the notion that AKI and CKD are indeed tightly
76 linked to each other with AKI predisposing for CKD and vice versa²³. It is therefore necessary to
77 prevent and monitor the development of CKD from AKI, highlighting another important
78 application of biomarkers in AKI²⁴.

79 Recently, single cell transcriptomics has not only changed our understanding of renal
80 cell types and cellular identities but also of renal molecular processes in health and disease^{13,25-}
81 ³⁰. Single cell transcriptomics allows the unbiased identification of cell types and cellular states
82 in physiological or disease settings and the investigation of transcriptomic processes in single

83 cells or cell populations. Multiple new AKI-associated kidney cell states were identified using
84 single cell transcriptomics, which would not have been captured by other approaches, for
85 instance bulk RNA sequencing^{13,26-30}.

86 Many attempts have been made to replace plasma creatinine and urinary output as the
87 clinical AKI determinants. The failure of these attempts could be due to the complexity of the
88 underlying etiologies of AKI combined with an incomplete understanding of the molecular
89 processes and a potential large inter-patient heterogeneity within the current stages of AKI. In
90 fact, how many clinical and molecular subtypes of AKI exist and how large inter-patient
91 heterogeneities confound the diagnosis, remain unclear³¹. However, these sources of variation
92 could potentially be captured by single cell approaches, which might help to find suitable
93 underlying targetable categories and subtypes of AKI.

94

95 **Current putative AKI biomarkers**

96 According to the current Kidney Disease Improving Global Outcomes (KDIGO) criteria,
97 AKI is defined by two functional kidney markers, serum creatinine (increase by 50% or \geq
98 0.3mg/dl within 48 hours) and urinary output (oliguria for \geq 6 hours), and a limited duration of
99 seven days¹⁴. Hence, both parameters do not actually represent kidney injury (such as for
100 instance troponin in myocardial infarction), but instead, renal functional impairment^{17,21}. This
101 situation naturally entails problems regarding sensitivity, specificity, and timeliness. Urine
102 volume can be reduced for numerous reasons not related to renal function and is for instance
103 subject to administration of diuretics. Thus, urine volume exhibits a low specificity for AKI.
104 Serum creatinine on the other hand can underestimate the kidney function in clinical scenarios
105 of fluid overload or reduced muscle mass and shows a delayed increase after the onset of
106 AKI^{17,19,21,32}. The relationship between creatinine and estimated glomerular filtration rate (eGFR)
107 is hyperbolic and as a result, an almost 50% decrease in eGFR must occur (blind spot) before
108 creatinine increases are appreciated by clinicians. Also, serum creatinine has critical limitations
109 when assessing recovery of kidney function after AKI due to changes in volume distribution,
110 body mass and potential renal hyperfiltration¹⁵. However, other biomarkers, more indicative of
111 real kidney damage or injury than creatinine and urinary output were not included in the 2012
112 KDIGO guidelines¹⁴. It should be noted that many studies still use serum creatinine and urinary
113 output as clinical endpoints which in itself may hinder the development and establishment of
114 new biomarkers and interventions.

115 Even mild and reversible forms of AKI are associated with worsened patient outcome
116 and increased mortality and morbidity³³⁻³⁵. Substantial efforts were (and are) being made to
117 further improve the management of AKI including the discovery and investigation of many novel
118 AKI biomarkers. The term “AKI biomarker” can represent different aspects of AKI. These
119 aspects include the assessment of the risk for AKI, the onset or diagnosis and severity of AKI,
120 the recovery from AKI and the risk of developing chronic kidney disease from AKI (risk of AKI-
121 to-CKD transition, Table 1). However, most AKI biomarkers detect the onset and severity of AKI
122 whereas far fewer provide an assessment of for instance preprocedural risks for developing
123 AKI. Apart from these categories, it has to be also noted that AKI biomarkers were studied in
124 defined clinical contexts for the mentioned different aspects of AKI (e.g. hospitalized patients,
125 patients on intensive care units, cardiac surgery patients, pediatric patients etc.).

126 AKI biomarkers can be measured in the patient’s urine or blood. Cellular sources of AKI
127 biomarkers are very diverse (Fig. 2A). AKI biomarkers include molecules specifically transcribed
128 in response to AKI in distinct segments of the kidney tubules (e.g. KIM-1 in proximal tubules,
129 UMOD in the thick ascending limbs, NGAL in the loop of Henle and the collecting ducts). AKI
130 biomarkers also comprise freely filtered plasma proteins with reduced tubular reabsorption in
131 AKI (e.g. α 1- and β 2-microglobulin, RBP) or tubular enzymes shed into the urine in response to
132 cellular injury due to AKI (e.g. alanine aminopeptidase, alkaline phosphatase). Moreover, many
133 AKI biomarkers have several (renal and extrarenal) cellular sources, which can hamper their
134 specificity in certain clinical contexts. AKI biomarker discovery is further complicated by the
135 multitude of etiologies leading to AKI (in the current definition) ranging from conditions of volume
136 depletion to intrarenal processes and to post-renal obstruction which can entail profoundly
137 different responses in the kidney³⁶ (Fig. 2B, C). AKI can entail kidney damage mainly affecting
138 the glomerulus as in glomerulonephritis³⁷, the kidney tubules as in ischemic injury^{36,38,39} or the
139 interstitium as in certain forms of toxin-induced kidney injury^{40,41}. This situation naturally
140 complicates AKI biomarker discovery and underlines the importance of knowing the cellular
141 sources and the pathophysiological involvement of the individual AKI biomarker candidate in the
142 setting of AKI.

143 The most widely studied novel AKI biomarkers are neutrophil gelatinase-associated
144 lipocalin (NGAL) and the combination of insulin-like growth factor-binding protein 7 (IGFBP7)
145 and tissue inhibitor of metalloproteinases (TIMP2)^{17,21,22,42,43}. These biomarkers are not “new”
146 per se but highly investigated and help to showcase current problems in AKI biomarker
147 discovery including cellular sources, inter-patient heterogeneity and their functional role in AKI.

148 It is of note that for these biomarkers, point-of-care devices are readily available for clinical
149 bedside testing⁴⁴⁻⁴⁶.

150 NGAL is a protein with different isoforms which is expressed in and can be released by
151 immune and kidney cells as well as multiple other non-hematopoietic cell types^{47,48}. NGAL
152 prevents iron uptake of bacteria by binding iron siderophore complexes and may thereby confer
153 protection against infections^{49,50}. In various settings of AKI including cardiac surgery, toxic
154 damage, sepsis, or ischemia, NGAL is strongly upregulated in plasma and urine in human and
155 animal models^{38,39,51-54}. NGAL is freely filtered in the glomerulus and reabsorbed by the
156 proximal tubules (PTs)⁵⁵. In the injured kidney, NGAL is most upregulated in the loop of Henle
157 and the distal tubules and secreted from the apical and basolateral sides^{54,56-58}. Hence, besides
158 increased renal production of NGAL in response to AKI, elevated levels of NGAL in the plasma
159 can be due to a decreased glomerular filtration while elevated levels in the urine can additionally
160 originate from reduced PT reabsorption of NGAL^{24,56}.

161 NGAL allows the diagnosis and the determination of the severity of AKI⁵⁹⁻⁶¹. It was
162 shown that NGAL can differentiate between settings of real kidney damage, where it is
163 upregulated, from reversible states of volume depletion⁶²⁻⁶⁴. Moreover, NGAL can rise days
164 before creatinine, making the diagnosis of AKI much timelier⁶⁵. In first studies of NGAL for the
165 prediction of AKI in children after cardiac surgery, urinary NGAL could predict AKI 2 hours after
166 surgery with an area under the receiver-operating characteristic curve (AUC) of 0.998. The
167 diagnosis of AKI in this cohort based on serum creatinine was only possible after 1-3 days⁵¹.
168 These results, however, could not always be met in cohorts of adult patients where AUCs
169 ranged between 0.5 and 0.99^{48,66}. Several factors potentially contributed to this heterogeneous
170 performance of NGAL in adult patients, including elevated levels of NGAL observed in patients
171 with a pre-existing impairment of the kidney function (chronic kidney disease)^{67,68} as well as a
172 release of NGAL from non-kidney cells (immune and non-immune cells) especially in the
173 context of systemic inflammation^{50,69}.

174 TIMP2 and IGFBP7 (so-called cell cycle arrest markers) were identified in a biomarker
175 screening for the prediction of AKI⁷⁰. It has been shown that renal cells undergo cell cycle arrest
176 in G1 upon AKI which helps cells to prevent and repair potential DNA damages, keeping energy
177 balance and inhibiting further cell divisions^{71,72}. In the kidney, TIMP2 and IGFBP7 are expressed
178 and released from kidney tubule cells⁷³ in response to cellular stress such as insufficient nutrient
179 or blood supply, inflammation or toxins⁷⁴. Cell cycle arrest by TIMP2 and IGFBP7 is achieved by
180 inducing the expression of inhibitors of cyclin-dependent protein kinase complexes⁷⁴. Additional

181 to kidney tubule cells, it is discussed that elevated urinary TIMP2 and IGFBP7 levels might be
 182 also due to an increased glomerular filtration and reduced PT reabsorption of TIMP2 and
 183 IGFBP7⁷⁵. Interestingly and in contrast to NGAL, TIMP2 and IGFBP7 mRNA levels were not
 184 upregulated shortly (4 hours) after AKI onset in mouse AKI models⁷⁵. On the other hand, urinary
 185 levels of TIMP2 and IGFBP7 were markedly increased 4 hours after AKI, which supports the
 186 notion of a tubular release of preformed TIMP2 and IGFBP in response to stress⁷⁵.

187 In the clinical setting of AKI, the arithmetic product of urinary TIMP2 and IGFBP7
 188 (TIMP2xIGFBP7, marketed as Nephrocheck®) is applied and was approved by the US Food
 189 and Drug Administration in 2014^{70,74,76}. The initial findings for urinary TIMP2xIGFBP7 showed an
 190 AUC of 0.80 in a multi-center study in critically ill adults, some of whom had AKI stage I, for the
 191 onset of moderate to severe AKI (stage 2 or 3) within the subsequent 12 hours with a sensitivity
 192 of 92% and a specificity of 46%. In this cohort, urinary TIMP2xIGFBP7 was superior to other
 193 biomarkers including plasma and urinary NGAL⁷⁰. The specificity could be increased at the cost
 194 of sensitivity by applying different cut off values for urinary TIMP2xIGFBP7 (>0.3 and >2
 195 (ng/ml)²/1000, the unit is often omitted). Several studies investigated the use of urinary
 196 TIMP2xIGFBP7 to guide therapeutic decisions. These decisions included nephrologist
 197 consultation, optimization of the patient's volume status or avoidance of nephrotoxic drugs if
 198 urinary TIMP2xIGFBP7 was above 0.3 (intervention group)⁷⁷⁻⁷⁹. These studies showed variable
 199 results including significant reductions of the occurrence of AKI in the intervention group^{77,78} or
 200 no such differences⁷⁹. These differing results might be due to different patient cohorts such as
 201 critically ill patients on intensive care units or patients admitted to the emergency room.

202 In summary, there is a profound need for biomarkers of different aspects of AKI. A
 203 plethora of studies helped to identify various new promising biomarkers. These studies showed
 204 that biomarkers can be sensitive and specific in defined patient cohorts (e.g., intensive care unit
 205 patients) and underlined the importance of the knowledge of the cellular sources and molecular
 206 mechanisms behind each biomarker.

207

Biomarker	Biological function	Cellular source	Measured in	Applicability of biomarker in AKI				
				AKI risk prediction	AKI onset	AKI severity	Recovery from AKI	AKI-to-CKD transition
Alanine aminopeptidase, alkaline phosphatase, γ -glutamyl transpeptidase ^{61,80}	cellular enzymes	mostly PTs but transcription is also present in other kidney tubule cell types	urine		x	x		

Albumin/protein ^{81,82}	plasma proteins	plasma proteins passing the glomerular filtration membrane in AKI	urine		x		x	x
C-C motif chemokine ligand 14 ⁸³	pro-inflammatory cytokine	kidney epithelium	urine				x	
C-X-C motif chemokine 10 (CXCL10/IP-10) ^{81,84}	pro-inflammatory cytokine	kidney epithelium, interstitial cells, endothelial cells, leukocytes	urine		x	x		
Calprotectin ⁸⁵⁻⁸⁷	antimicrobial protein	kidney-infiltrating inflammatory cells (neutrophils, monocytes), renal collecting duct cells	urine		x			
Chitinase 3-like protein 1 ⁸⁸⁻⁹²	member of glycosyl hydrolase 18 family; chemoattractant, can stimulate cell growth, proliferation and cell shape	kidney macrophages, multiple extra-renal sources and glomerular filtration	urine and plasma		x		x	x
Cystatin C ^{59,60,93}	cysteine protease inhibitor, produced by most of the nucleated cells	most nucleated cells, freely filtered functional marker	plasma		x	x		x
Dickkopf-3 ^{94,95}	secreted immunomodulatory glycoprotein	kidney epithelium	urine	x				
Epidermal growth factor ⁹⁶⁻⁹⁸	involved in tissue proliferation, differentiation and repair	thick ascending limb, distal convoluted tubule	urine				x	
Hepatocyte growth factor ^{81,99,100}	cellular morphogenesis, growth and motility marker	kidney mesangial cells, endothelial cells, fibroblasts, macrophages	plasma			x	x	
Hepcidin ¹⁰¹	antimicrobial protein involved in iron homeostasis	liver (freely filtered), loop of Henle, collecting ducts	urine		x	x		
Interleukin-18 ^{59,60,102}	pro-inflammatory cytokine	immune cells, PTs, intercalated cells	urine	x	x			x
Kidney injury molecule-1 ^{24,103-105}	PT transmembrane glycoprotein involved in phagocytosis of apoptotic cells	PTs	urine	x	x	x	x	x
Liver-type fatty acid-binding protein ⁶⁰	chaperone, fatty acid transport, freely filtered and secreted from injured cells	PTs, hepatocytes	urine and plasma		x			
Matrix metalloproteinase-2 and 9 ^{106,107}	proteolytic enzymes	kidney epithelium, interstitial cells	urine				x	
Monocyte chemoattractant peptide-1/C-C motif chemokine ligand 2 ^{92,106,108,109}	pro-inflammatory cytokine	PTs, medullary interstitium	urine and plasma	x	x	x	x	x
N-acetyl-β-D-glucosaminidase ^{61,80}	cellular lysosomal enzyme	PTs	urine		x			
Netrin-1 ^{110,111}	laminin-related protein, inhibitor of leukocyte migration	kidney epithelium, endothelial cells	urine		x			
Neutrophil gelatinase-associated lipocalin ^{48,54,56,58,82}	iron-binding protein involved in protection against infection, growth and differentiation factor, several isoforms	loop of Henle, collecting duct, non-hematopoietic (colon, lung) and hematopoietic cells (neutrophils)	urine and plasma		x	x		x
Osteopontin ¹¹²	extracellular protein and cytokine for immune cell recruitment	kidney epithelium, interstitial cells, endothelial cells, leukocytes	plasma		x	x		
Procollagen type III N-terminal propeptide ¹¹³	by-product in the synthesis of collagen type III	interstitial cells	urine and plasma				x	
Proenkephalin A ¹¹⁴	endogenous opioid	freely filtered plasma protein	plasma		x	x	x	
Retinol binding protein ⁶¹	liver-synthesized glycoprotein	plasma protein with reduced tubular reabsorption in AKI	plasma			x		
Soluble urokinase plasminogen activator receptor (suPAR) ^{115,116}	Cleaved from membrane-bound uPAR, involved e.g. in chemotaxis, cell migration and adhesion, at least three isoforms were reported	Endothelial cells, podocyte, immune cells, fibroblasts	plasma	x				
Tissue metalloproteinase-2 (TIMP2); insulin-like growth factor binding protein-7 (IGFBP7) ^{70,73,75,117}	TIMP2: inhibitor of matrix metalloproteinases, IGFBP7: regulates availability of insulin-like growth factors and cell-cell adhesion	Kidney epithelium, extrarenal sources with reduced tubular reabsorption in AKI	urine	x	x	x		x

Transforming growth factor beta ¹⁰⁶	multifunctional cytokine	kidney epithelium, interstitial cells, endothelial cells, leukocytes	urine				x	
Tumor necrosis factor alpha ^{60,118,119}	pro-inflammatory cytokine	kidney epithelium, leukocytes	plasma		x			
Tumor necrosis factor receptor type 1 and 2 ^{102,105,116-120}	cell surface receptors for tumor necrosis factors	glomeruli, endothelial cells, infiltrating leukocytes (TNFR1), distal convoluted tubules (TNFR2)	plasma				x	x
Uromodulin ^{92,121,122}	most abundant protein in urine of healthy individuals, involved in protection against urinary tract infections and formation of kidney stones	thick ascending limb	urine	x			x	x
Vascular endothelial growth factor ^{81,123}	signaling protein stimulating the formation of blood vessels	kidney epithelium, endothelial cells	urine		x			
α glutathione S-transferase ⁹⁹	cellular PT enzyme	PTs	urine		x			
α 1-microglobulin ^{59,124,125}	freely filtered plasma protein, tubular reabsorption under physiological conditions	plasma protein with reduced tubular reabsorption in AKI	urine	x	x			
β 2-microglobulin ^{59,126}	freely filtered plasma protein, tubular reabsorption under physiological conditions	extrarenal sources with reduced tubular reabsorption in AKI	urine		x		x	
π glutathione S-transferase ⁹⁹	cellular distal tubule enzyme	distal tubules	urine		x			

208 **Table 1. List of selected AKI biomarkers and their clinical applicability.**

209

210 Single cell technologies and molecular mechanisms of AKI

211 Single cell technologies enable the measurement of RNA transcripts in thousands of
212 single cells. Several single cell RNA sequencing (scRNA-seq) platforms and techniques as well
213 as an ever-growing number of bioinformatics tools and approaches are currently available^{127,128}.
214 Each messenger RNA transcript sequenced in a scRNA-seq experiment should be traceable
215 back to a cell of origin of the investigated tissue. This aim is often achieved by linking cell-
216 specific oligos (barcodes) to the messenger RNAs of the cells (Fig. 3)¹²⁹. Transcripts can be
217 assigned back to a single cell (of yet unknown cell type) after sequencing. As a result, an
218 expression matrix with transcript counts for each cell barcode is produced. In a next step, cell
219 types are assigned to each cell. For this, all cells are clustered using genes, which show high
220 variation of expression between all cells (highly variable genes)¹²⁸. Having the clusters of cells, it
221 is then possible to calculate marker genes for each cluster. The identified marker genes usually
222 show a significantly higher expression in the respective cluster, compared to other clusters. Until
223 this step, all bioinformatics analyses are unbiased and do not assume prior knowledge. By
224 comparing the calculated marker genes to sets of known cell type marker genes of the tissue
225 (e.g., aquaporin 2 for kidney collecting duct principal cells, platelet cell adhesion molecule 1 for
226 vascular endothelial cells etc.), most clusters can be assigned to known cell types. High-
227 dimensional single cell data is usually visualized using t-distributed stochastic neighbor

228 embedding (t-SNE) or uniform manifold approximation and projection (UMAP) plots. These non-
229 linear transformations place cells in two- or three-dimensional plots trying to capture gene
230 expression similarities by spatial distance. Since the clustering process is unbiased, single cell
231 technologies led to the discovery of new cell types in the healthy kidney and shed new light on
232 and increased our knowledge about the richness, plasticity, and diversity of renal cell types and
233 cell states^{13,25-30,130-132}. There are several platforms which enable the analysis of RNA expression
234 at single cell resolution¹³³. The platform has to be chosen according to the experimental design
235 and research question which should be answered. In general, there are approaches which allow
236 deep full-length RNA sequencing of a limited number of single cells and approaches which allow
237 measuring thousands of cells by sequencing of for instance only the 3' end of a gene¹³³. Single
238 cell measurements are of course not only restricted to single cell RNA expression but can be
239 extended with spatial resolution¹³⁴ and are also feasible for DNA¹³⁵, chromatin accessibility
240 (ATAC-seq - Assay for Transposase-Accessible Chromatin with sequencing)¹³⁶ and proteins¹³⁷,
241 although the developmental stages of the respective technologies are certainly different. A
242 powerful approach is to combine single cell with single cell ATAC-seq. ATAC-seq provides
243 information on the regions of accessible chromatin. Hence, transcription factor binding can be
244 inferred through known binding motifs. This provides more information on the transcriptional
245 regulation than single cell RNA sequencing alone^{138,139}.

246 One major challenge of each scRNA-seq experiment is tissue digestion. The goal is to
247 provide a suspension of single cells with good RNA quality and gene expression profiles, which
248 reflect the original gene expression in the tissue. For the kidney, different cold and warm
249 digestion protocols are available^{13,25,130}. Some studies using a cold digestion protocol report a
250 reduction of some gene expression artefacts induced by warm digestion¹⁴⁰. Independent of the
251 digestion protocol, obtaining a suspension of intact single cells from each specimen is not
252 always possible. This is in particular relevant for frozen or archived tissues. In such cases,
253 single-nuclei RNA sequencing (snRNA-seq) can be used by applying the same techniques and
254 methods apart from the digestion protocol¹⁴¹. In fact, even with specimens that can be used for
255 scRNA-seq, the usage of snRNA-seq might be advantageous¹⁴². These advantages include a
256 rather quick, uniform, and cold dissociation protocol for all tissues. Moreover, protocols
257 suggested for the generation of single nuclei suspensions allow a more homogeneous
258 dissociation of the tissue with less bias towards more easily dissociable tissue regions. For the
259 kidney, this approach would lead to a better representation of glomerular cell types and cells
260 from the medulla of the kidney, which are harder to dissociate than cells from the kidney
261 cortex^{13,142}.

262 For the kidney, scRNA-seq can be performed not only on renal tissue, but also on urine
263 samples. Urine scRNA-seq uses, as the name indicates, single cells, which are shed into the
264 urine^{143,144}. Since the availability of human specimens is limited, using scRNA-seq in urine
265 samples provides an elegant approach to investigate transcriptional changes at cell type
266 resolution in patients over time. However, the harsh conditions in the urine can entail high levels
267 of noise and transcriptional deviation from the original cell state which makes clustering not as
268 sharp as in kidney tissue and can hamper clear cell type assignments³⁰. Moreover, urine usually
269 contains a large proportion of dead cells and naturally comes with a survival bias. In many
270 clinical settings, urine will be however the only available source of kidney cells. To overcome
271 these difficulties, it is mandatory to establish and maintain high quality atlases in clinical settings
272 where, both, tissue and urine data are available. This helps to provide better cell type
273 assignments in the urine and better knowledge on how well urinary single cell transcriptomics
274 truly reflect intrarenal processes.

275 In the setting of AKI, scRNA-seq revealed the existence of new AKI-associated cell
276 states in kidney scRNA-seq and snRNA-seq data from mouse and human AKI samples²⁶⁻
277 ^{30,145,146}. Ischemia-reperfusion injury (IRI) is a commonly used AKI model in mice. For IRI, kidney
278 injury is induced by clamping the kidney artery for a defined amount of time followed by
279 reperfusion^{38,39}. Kirita et al.²⁶ performed snRNA-seq on IRI mouse kidneys at five different time
280 points after injury (4, 12 hours and 2, 14, 42 days) and controls. This study discovered distinct
281 AKI-associated cell states which were assignable to major cell types of the kidney tubule but
282 additionally showed specific gene expression profiles deviating from healthy kidney epithelium.
283 These cell states induced by IRI were found among PT cells. The healthy PT consists of at least
284 three discernible anatomic segments, the S1, S2 and S3 segments¹⁴⁷. Using bioinformatics
285 approaches including trajectory analysis and marker gene analysis, these novel AKI-associated
286 cell states could be assigned to injured PT cells from different healthy PT segments. Having
287 samples from different time points after injury also allowed the investigators to check whether
288 cells from AKI-associated cell states are able to regenerate back to healthy kidney epithelium.
289 Such regeneration is particularly important as non-regenerative cell states mean loss of healthy
290 nephrons and therefore kidney function. Kirita et al. suggested that not all AKI-associated cell
291 states will regenerate back to normal kidney epithelium. Particularly, cells from an AKI-
292 associated cell state they labeled “failed repair PT” are thought to be unable to regenerate back
293 to healthy PTs. These “failed repair PTs” are characterized by a distinct gene expression profile
294 including downregulation of PT marker genes (dedifferentiation) and upregulation pro-fibrotic
295 and pro-inflammatory genes such as vascular cell adhesion molecule-1 (VCAM-1)²⁶. This study

296 did not systematically analyze all available AKI biomarker candidates within their data. However,
297 the investigators presented expression of the well-investigated AKI biomarker gene kidney injury
298 molecule-1 (KIM-1)¹⁰⁴, which was mainly expressed in injured PT cells of the S3 segment. This
299 finding gives KIM-1 new annotations as it seems to be associated with an injured cell state
300 which can still regenerate back to normal kidney epithelium (not failed repair) and a specific
301 anatomic segment of the kidney tubule (PT S3 segment). Also, other scRNA-seq mouse IRI
302 studies discovered distinct PT AKI-related cell states^{27,28}. Additional scRNA-seq studies further
303 helped to refine injured PT cells of the failed repair cluster^{28,139}. A recent study found that PT
304 cells expressing VCAM-1 (a defining marker gene of failed repair PT cells) are also present -
305 although at much lower abundance than in AKI - in PTs from healthy kidneys¹³⁹.

306 Animal studies represent important first insights into the pathogenesis of a disease but
307 naturally come with certain limitations, especially regarding the translation to patients. In the
308 case of AKI, mouse IRI studies, also from studies not involving any scRNA-seq or snRNA-seq,
309 usually report the most pronounced damage in the kidney in the PTs^{36,38,39,148,149}. In fact, this
310 process is a highly debated topic and if this picture truly represents the conditions in human AKI
311 remains unclear^{148,149}. Recent human snRNA-seq data indicate an involvement of multiple cell
312 types of the kidney tubule in AKI associated with critical illness²⁹. This study compared kidney
313 biopsy tissue from post mortem biopsies collected within 2 hours post mortem of AKI to control
314 kidney samples from post mortem biopsies of different time points and normal kidney tissue
315 from tumor nephrectomies. This study also found a VCAM-1-expressing AKI-associated cluster
316 of dedifferentiated PT cells. These human AKI-associated PT cells did also show a high
317 expression of epithelial mesenchymal transition signaling and overlapping marker gene
318 expression with the earlier presented murine AKI failed repair cells (human failed repair cells).
319 Surprisingly, very comparable AKI-associated clusters (failed repair clusters) could also be
320 identified in other renal cell types such as the thick ascending limbs or the distal convoluted
321 tubules²⁹. All included AKI patients had moderate to severe AKI within five days prior to
322 sampling in the setting of severe pneumonia. However, abundances of failed repair PT cells
323 varied heavily between the patients²⁹.

324 Current scRNA-seq and snRNA-seq studies allow unprecedented insights into the
325 molecular mechanisms of AKI. However, the current studies show a need for data from human
326 AKI samples in controlled clinical settings which is currently limited. Mouse and human AKI
327 kidney data indicate that kidney PT cells (and other cell types) undergo defined gene expression
328 programs including pro-fibrotic states of failed repair. Whether individual abundances of AKI-

329 associated cell states correlate with renal and global patient outcome, or whether they are
330 present in different etiologies of AKI and vary between patients of the same etiology and stage
331 of AKI is not yet clear.

332

333 **Single cell technologies can change the approach to AKI biomarker discovery**

334 Even though NGAL and IGFBP7/TIMP2 can provide useful additional information on
335 risk, onset and severity of AKI, some reported limitations of these AKI biomarkers originated
336 from systemic non-renal secretion, unclear cut off values and usability in different clinical
337 settings (e.g. critically ill patients on ICUs versus patients in the emergency room)^{24,48,79}. In other
338 words, these limitations are linked to uncertainties regarding cellular sources and involvement of
339 these biomarkers in different forms or settings of AKI. Single cell or single nuclei transcriptomics
340 might help to some extent in these regards. Concerning the origin of gene transcripts, single cell
341 or nuclei approaches provide cell type-specific information on gene expression. Moreover, there
342 is a growing number of publicly available scRNA-seq datasets and platforms with control
343 samples and samples from disease settings -renal and non-renal tissue - which can provide
344 direct useful information on the expression domain of a gene of interest¹⁵⁰⁻¹⁵². Potential AKI
345 biomarker candidates can be filtered, ranked, or quantitated on an RNA level using these
346 resources.

347 Regarding the involvement and relevance of existing AKI biomarkers in different settings
348 or forms of AKI, single cell transcriptomic data is still limited but promises to be useful. For
349 instance, the AKI biomarkers IGFBP7/TIMP2, interleukin 18 (IL18) and KIM-1 are all biomarkers
350 which can be used to define the onset of AKI (Table 1). Additionally, they are all reported to be
351 expressed in PTs^{75,153,154}. However, scRNA-seq and snRNA-seq studies indicate distinct and
352 extended expression domains. IGFBP7 is expressed predominantly in PT cells and TIMP2 in
353 distal tubule cells (but also PT) in AKI^{73,75}. Consistently, in scRNA-seq data from human
354 pneumonia-associated AKI, IGFBP7 was shown to be upregulated in PTs but also in thick
355 ascending limbs and podocytes²⁹. On the other hand, TIMP2 showed upregulation in thick
356 ascending limbs and distal convoluted tubules. Interestingly, in PTs, IGFBP7 was mainly
357 expressed in the previously mentioned failed repair PTs²⁶. Notably, failed repair PTs (and
358 therefore IGFBP7 expression) showed pronounced variation in abundances among individuals
359 with moderate to severe AKI. IGFBP7 might therefore also reflect the abundance of failed repair
360 cells in PTs.

361 While IGFBP7 showed maximum expression in failed repair PT cells, IL18 expression
362 peaked in a different AKI-associated PT subpopulation which displayed increased hypoxia
363 response signaling²⁹. This subcluster could be associated with mouse IRI injured S3 cells and
364 showed some degrees of inter-patient heterogeneity although not as pronounced as the failed
365 repair cluster^{26,29}. These findings are not contradictory to the fact that IGFBP7 and IL18 can
366 indeed be used to make the diagnosis of AKI but support a notion of potentially different
367 expression domains (early injured PT S3 versus PT failed repair cells). KIM-1 was also mainly
368 expressed in injured S3 cells in AKI^{26,29}. A systematic analysis of all available AKI biomarkers is
369 unfortunately not present in all studies. Published kidney AKI data can, however, provide new
370 AKI biomarker candidates for different injured subclusters.

371 Apart from providing new AKI biomarker candidates or evaluating known AKI biomarkers
372 on RNA levels, single cell transcriptomics might be helpful in providing new AKI subtype
373 categories. As Fig. 2B indicates, many clinical conditions can lead to AKI. It is however unclear
374 if these different conditions entail different molecular responses in the kidney. It has been shown
375 that mice show very different gene expression responses to IRI and states of dehydration which
376 can also lead to AKI³⁶. Although a variety of factors can lead to AKI, it is unclear how many
377 molecular subtypes of AKI truly exist^{17,31}. This would be important to know as different molecular
378 mechanisms might entail different AKI biomarkers and different therapeutic measures. Our
379 current classification of AKI and groups of patients observed in clinical studies (e.g. moderate to
380 severe AKI in critically ill patients^{77,79}) might not reflect the underlying molecular mechanisms.
381 This unknown heterogeneity can hamper the usability and discovery of AKI biomarkers. It might
382 be that patients with moderate to severe AKI in our current definition present very
383 heterogeneous abundances of for instance failed repair cells or injured PT S3 cells or even
384 completely new tubular cell states and consecutively show heterogeneous blood or urine AKI
385 biomarker levels. These assumptions will need, however, more single cell-resolved kidney AKI
386 data from independent studies.

387 Clearly single cell transcriptomics alone are not able to capture the full range of possible
388 AKI biomarkers (Fig. 2A) as only measurable differences in RNA production can be determined.
389 However, single cell transcriptomics represents a new tool in the chain of biomarker discovery.
390 This new tool can be used in a direct manner for biomarker discovery from scRNA-seq or
391 snRNA-seq but also in an indirect (and potentially more important) manner providing AKI
392 molecular subtype classification. Reevaluating new and known AKI biomarkers in a context of

393 molecular signaling-driven AKI classification might further strengthen the role of other AKI
394 biomarkers apart from serum creatinine and urinary output.

395

396 **Conclusions**

397 Single cell transcriptomics enables unprecedented insights into the molecular
398 mechanisms of AKI. In the field of AKI biomarkers, single cell transcriptomics can be helpful in
399 several aspects. Transcriptomics can help to provide cellular sources of AKI biomarker gene
400 expression, to discover new AKI biomarker candidates, and to restructure or at least expand our
401 current AKI classification. Potential future new AKI biomarker candidates from single cell
402 transcriptomics can be linked to AKI-associated cell states and distinct molecular signaling
403 instead of AKI stage and patient cohorts. In addition to in depth animal studies, further studies
404 require the inclusion of more human data. Such an approach will help to overcome certain
405 limitations of AKI animal models and will additionally provide information on inter-patient
406 heterogeneity and transcriptomic variance in comparable clinical settings of AKI. New
407 therapeutic interventions could thereby become reality.

408

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758

759 **Figure legends**

760 **Figure 1. The basic anatomical structure of the kidney and physiological properties. A.**
761 *Schematic overview of the structure of the kidney. The kidney regions cortex, outer and inner*
762 *medulla are shown. In addition, a cortical (left) and a juxtamedullary (right) nephron are shown.*
763 *The gradient-colored triangles for hypoxia and osmolality should indicate the increasing tissue*
764 *osmolality and hypoxia towards the inner medulla. B. A more detailed and magnified scheme of*
765 *the (cortical) nephron shown in A. Major kidney tubular structures are color-coded as indicated.*

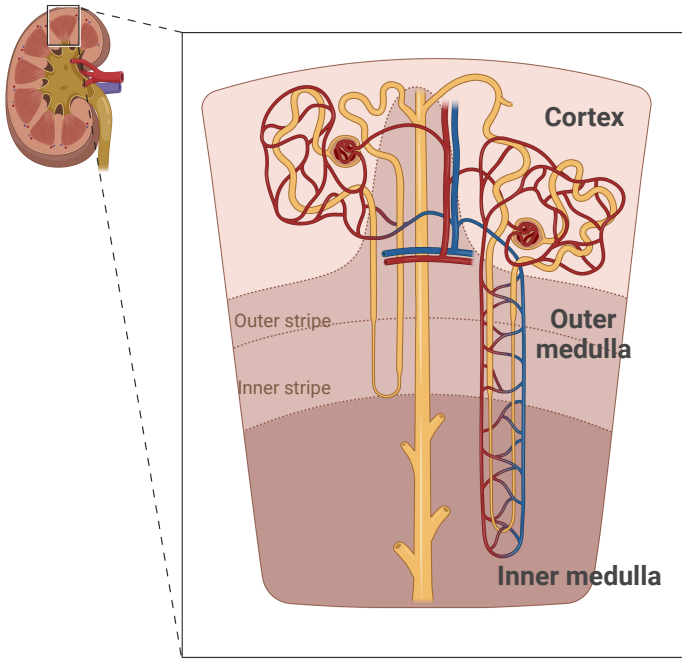
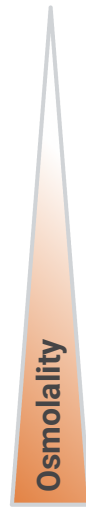
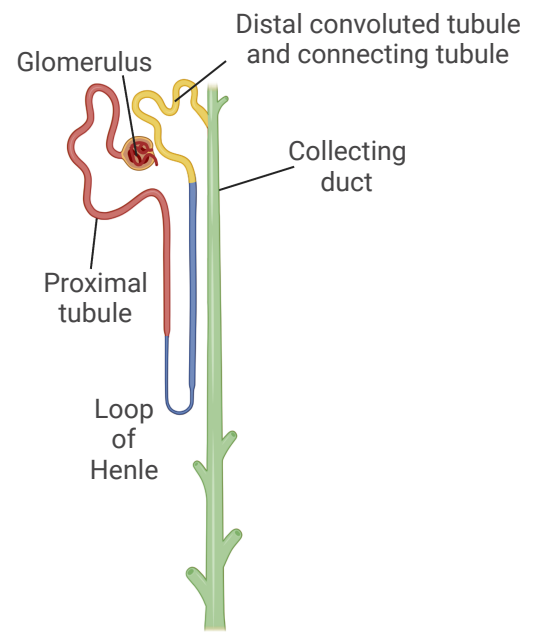
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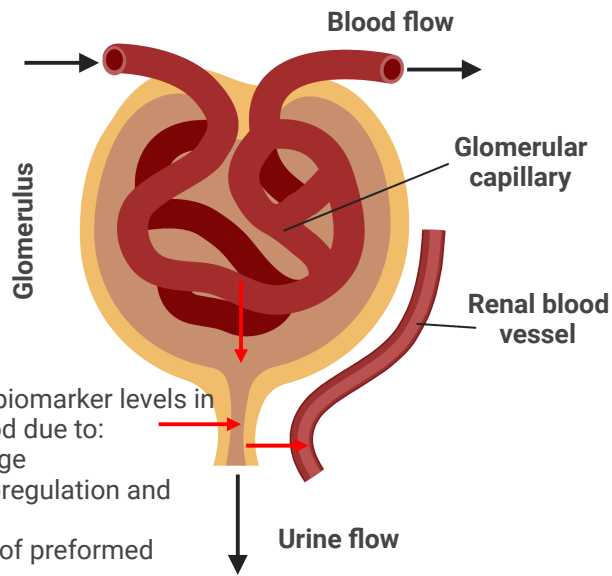
767 **Figure 2. Sources of AKI biomarkers and pathophysiological conditions leading to AKI.**
768 **A.** *Scheme of a glomerulus (see Fig. 1) depicting potential sources for elevated levels of AKI*
769 *biomarkers in blood and urine. B. Scheme showing potential clinical settings and*
770 *pathophysiological conditions which can lead to the diagnosis of AKI. C. Table with the clinical*
771 *settings and pathophysiological conditions depicted in B. and corresponding, potential*
772 *therapeutic decisions.*

773

774 **Figure 3. Basic steps in preparing and evaluating single cell data.** *Points 1-6 indicate major*
775 *steps for the preparation, implementation and analysis of single cell experiments for a droplet-*
776 *based approach. The lower right panel shows the general structure of the tagged microbeads*
777 *(used in point 2) which allows the assignment of individual mRNA molecules to their respective*
778 *cell. For this, tagged microbeads carry a cell barcode (cellular identity, identical for all oligos on*
779 *one microbead, different between different microbeads) and unique molecular identifiers*
780 *(transcript identity to avoid counting PCR duplicates, different for each oligo on each*
781 *microbead).*

782

A**B**

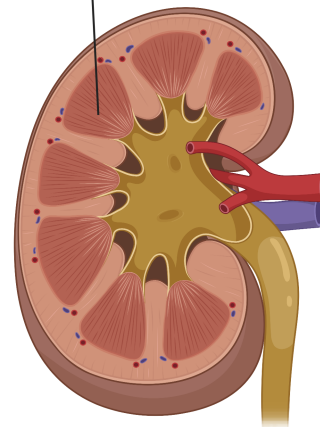
A

Altered AKI biomarker levels in urine or blood due to:

1. cell damage
2. tubular upregulation and secretion
3. secretion of preformed proteins
4. altered tubular reabsorption
5. altered glomerular filtration
6. secretion from invading or resident non-kidney tubule cells

B

Glomerular diseases
 Interstitial nephritis
 Nephrotoxins
 Kidney stones
 Vasculitis

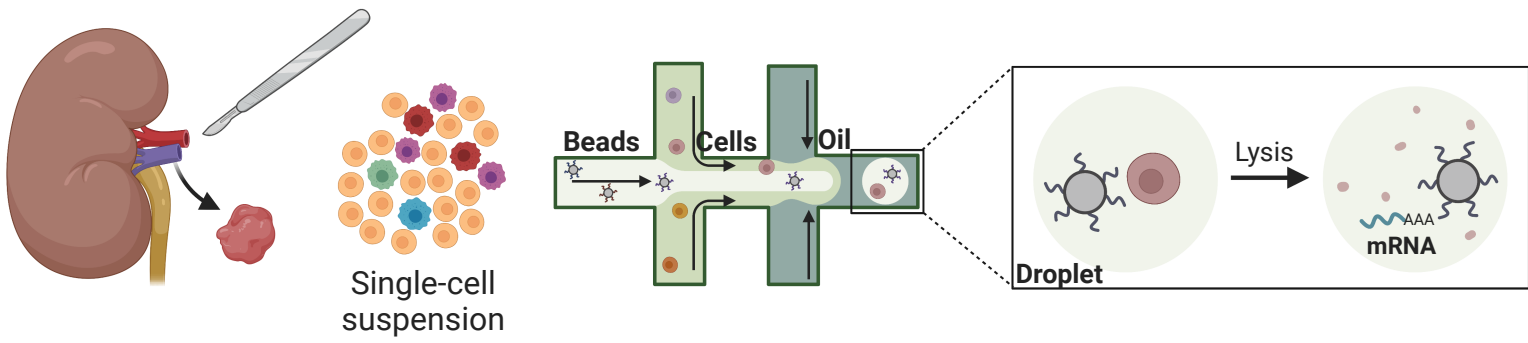


Thrombosis/embolism
 Low cardiac output
 Sepsis
 Vasculitis
 Collagenosis
 Hypertension
 Diabetes
 Hypovolemia

Post renal obstruction

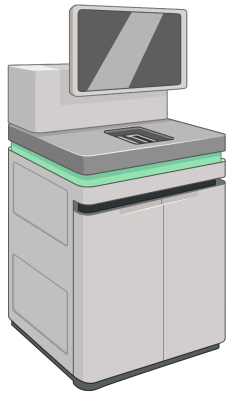
C

Clinical diagnosis	Potential therapeutic decisions
Thrombosis/embolism	Anticoagulation/lysis, angiographic/surgical intervention
Low cardiac output	Optimization of heart failure therapy
Sepsis	Antibiosis, volume therapy, ICU therapy (if necessary)
Hypertension	Optimization of antihypertensive therapy
Diabetes	Optimization of antidiabetic therapy
Hypovolemia (prerenal azotemia)	Volume therapy
Collagenosis/glomerular diseases /vasculitis	Immunosuppressive therapy (if necessary), optimization of cardiovascular risk factors
Interstitial nephritis	Discontinuation of potential noxious agents, glucocorticoids (if necessary)
Nephrotoxins	Discontinuation of noxious agents
Kidney stones	medical expulsive therapy, lithotripsy, ureteral splint, analysis of stone composition,
Postrenal obstruction	(Surgical) removal of obstruction, ureteral splint

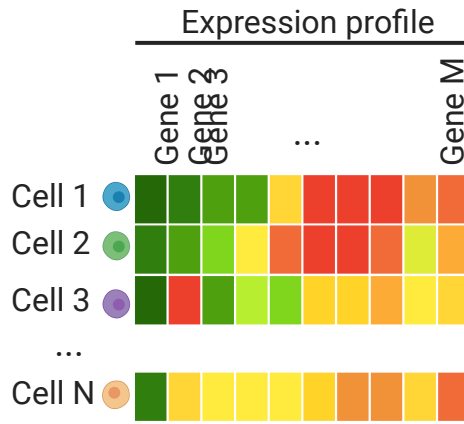


1 Tissue preparation and generation of single cell suspension

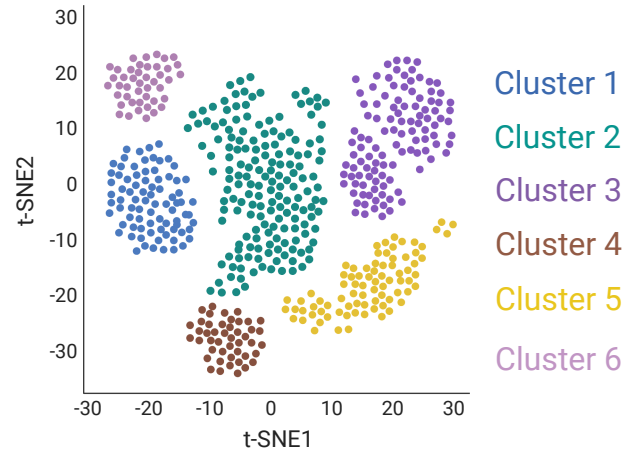
2 Tagged microbeads and cells are encapsulated within droplets



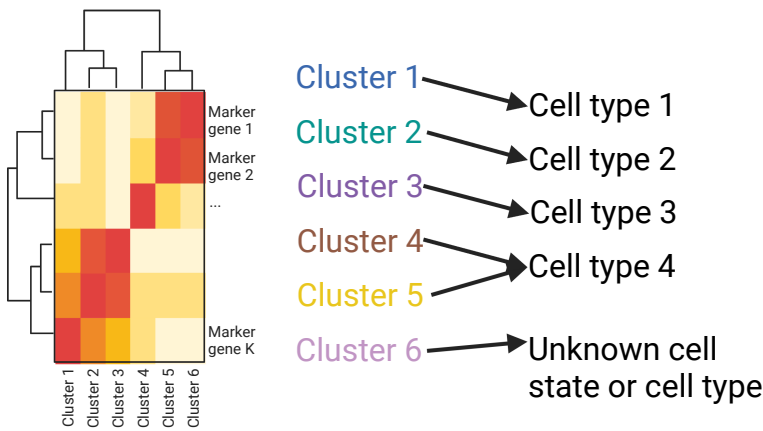
3 Single cell sequencing after library prep



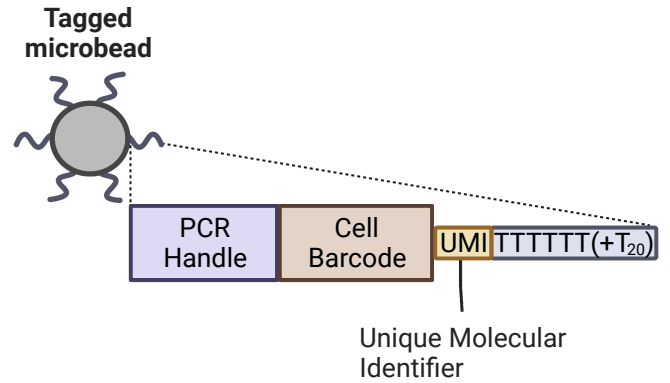
4 Single cell expression profile after alignment



5 Unbiased clustering



6 Cell type assignment using marker genes



Structure of tagged microbead